

ATTORNEY'S DOCKET NO.: 2004117-0002 (NEMC 197)

FEB 2 6 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFITECH CENTER 1600/2900

Applicant:

Carr et al.

Examiner:

Landsman, R.

Serial No.:

09/428,692

Art Unit:

1647

Filing Date:

October 28, 1999

Title:

NOVEL CHIMERIC ANALGESIC PEPTIDES

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

APPOINTMENT OF ATTORNEY UNDER 37 C.F.R. § 3.71

The undersigned hereby revokes all previous powers appointed in this case and appoints Sam Pasternack, Registration No. 29,576; Brenda Herschbach Jarrell, Registration No. 39,223; Kevin M. Tormey, Registration No. 41,351; Karoline K. M. Shair, Registration No. 44,332; Elizabeth Nugent, Registration No. 43,839, Valarie B. Rosen, Registration No. 45,698; Anne Marie Dinius, Registration No. 45,592; C. Hunter Baker, Registration No. 46,533; Monica R. Gerber, Registration No. 46,724; Elijah Cocks, Registration No. 47,499; and John Hamilton, Registration No. P-48,946, as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to:

Brenda Herschbach Jarrell, Ph.D.

Choate, Hall & Stewart

Exchange Place

53 State Street

Boston, Massachusetts 02109

Submitted on behalf of the New England Medical Center Hospitals, Inc.

Respectfully submitted,

Name:

William New

Title:

Vice President, Research Administration

Dated:

3340700v1



COPY OF PAPERS ORIGINALLY FILED

ATTORNEY DOCKET NO.: 2004117-0002 (NEMC 197)

RECEIVED FEB 2 6 2002 TECH CENTER 1600/2900

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Assistant Commissioner for Patents Washington, .DC 20231

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS UNDER 37 CFR §1.27(a)(3) NON-PROFIT ORGANIZATION

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of the New England Medical Center.

This assertion is made on the ground that New England Medical Center is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
- (d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

lame: William Ne

Title: Vice/President, Research Administration

Date:

CHOATE, HALL & STEWART

53 State Street Exchange Place Boston, MA 02109 Phone: (617) 248-5000 Fax: (617) 248-4000





ATTORNEY'S DOCKET NUMBER: 2004117-0002 (NEMC 197)

FEB 2 6 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFIC ECH CENTER 1600/2900

Applicant:

Carr et al.

Examiner:

Landsman, R.

Serial No.:

09/428,692

Art Unit:

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NOVEL CHIMERIC ANALGESIC PEPTIDES

Assistant Commissioner For Patents Washington, DC 20231

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION (37 CFR 3.73(b))

The assignee of the entire right, title and interest of inventors the New England Medical Center Hospitals, Inc., hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignee is established as follows:

X documents already recorded in the PTO on June 27, 2001;

Reel 011939

Frame <u>0952</u>; and

documents already recorded in the PTO on <u>January 16, 2001</u>;

Reel <u>011451</u>

Frame <u>0912</u>; and

documents already recorded in the PTO on January 16, 2001;

Reel <u>011451</u>

Frame <u>0977</u>.

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.

Signature of Authorized Person

Date: 1/4/0 Z

Name:

William New

Title:

Vice President, Research Administration

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FORM PTO-1595	
(Rev. 6-93)	
OMB No. 0651-0011	(exp. 4/94)

RECORDATION FORM COVER SHEET CHARLENTS ONLY

· U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

)	To the Honorable Commissioner of Patents and Trademarks: Please rec	ord t	the attached original documents or copy thereof.	
1.	Name of conveying party(ies):	2.	Name and address of receiving party(ies) Name: New England Medical Center Hospitals, Inc.	
•	Additional name(s) of conveying party(ies) attached?	Internal Address: Street Address: 750 Washington Street NEMC # 817		
	☐ Yes ⊠No	ł		
3.	Nature of conveyance:		City: Boston State: MA Zip Code: 02111	
☑ Assignment ☐ Merger			COPY OF PAPERS RECEIVED	
□ s	ecurity Agreement		ORIGINALLY FILED FEB 2 6 2007	
0 0	- Outer			
Exec	cution Date: December 20, 2001	Ado	ditional name(s) & address(es) attacher CH CENER 1600/2900	
4.				
	If this document is being filed together with a new application, the execution date of the application is:			
	Patent Application No.(s) 09/428,692 filed October 28, 1999	В.	Patent No.(s)	
Additional numbers attached? ☐ Yes ☒ No				
5.	Name and address of party to whom correspondence concerning document should be mailed:	6.	Total number of applications and patents involved: 1	
	Name: Brenda Herschbach Jarrell	7.	Total fee (37 CFR 3.41).\$40.00 ☑ Enclosed	
ļ	Internal Address: Choate, Hall & Stewart	l		
"		-	☐ Authorized to be charged to deposit account	
Street Address: Exchange Place 53 State Street		8.	Deposit account number: 03-1721	
			(Attach duplicate copy of this page if paying by deposit account)	
ļ	City: Boston State: MA ZIP: 02109			
D	O NOT USE THIS SPACE			
9.	Statement and signature. To the best of my knowledge and belief, the foregoing information is price.	e and	d correct and any attached copy is a true copy of the original document.	
Brenda Herschbach Jarrell Name of Person Signing Reg. No. 39,223 Signature Date				
	Total number of pages including cover sheet, attachments, and document: 3			

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231

3350335_1.DOC

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Contraissioner For Patents. Washington. D.C. 20231

on Tacker of a rich washington. U.C. of

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ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned Richard Kream of Huntington, NY hereby sells, assigns and transfers to New England Medical Center Hospitals, Inc., having a place of business at 750 Washington Street, NEMC # 817 Boston, MA 02111, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "NOVEL CHIMERIC ANALGESIC PEPTIDES" filed October 28, 1999, and given serial number 09/428,692 in and to said application and all divisional, continuing, substitute, renewal, reexamination, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original, reissued, and reexamination patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reexamination, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me.

IN WITNESS WHEREOF, I hereto set my hand and seal at Huntington, NY 117,43

December this 20 day of December, 2001.

Richard Kream

STATE OF New York

SS.

COUNTY OF Suffork

Before me this 20 day of December, 2001, personally appeared

Richard Kream known to me to be the person whose name of subscribed to the foregoing

Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public, State of New York

No. 018E605E2833

Qualified in Nassau County

Commission Expires December 26, 20 0

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